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Rev. 6/95	Patent and Trademark Office	L
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**NEW UTILITY PATENT** 

PTO/SB/05 (6-95) Approved fc. use through 11/30/98 OMB 0651-0032 Patent and Trademark Office: US Department of Commerce

Attorney Docket Number	T
	ARC 2466 R1
First Named Inventor	CORMIER, Michel J.N., et al.
Total Pages in this	
Submission	

APPLICATIO	N TRANSMITTAL	Total Pages i Submission	n this			
ADDI ICATION EL EMENTO			T			
APPLICATION ELEMENTS  Note: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506,601, (37 CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.		ACCOMPANYING APPLICATION PARTS				
Fee Transmittal Form (prescribed filing fee(s))		6. Assignment Papers				
2. Specification			7. Certified Copy of Priority Document(s) (if foreign priority is claimed)			
Title of the Invent	ion		8. Computer Program in Microfiche			
Cross References	s to Related Applications (if applicat	ble)	9. English	Translation Docu	ıment (if applicable)	
Statement Regard	ding Federally-sponsored Research	/Development (if	10. Information Disclosure Copies of IDS Statement/PTO-1449 Citations			
Reference to Mic	rofiche Appendix (if applicable)		11. Petition	Checklist and Ac	companying Petition	
Background of the	e Invention		12. Prelimi	nary Amendment		
Brief Summary of	the Invention		13. Proprietary Information			
Brief Description	of the Drawings (if drawings filed)		14. Return Receipt Postcard			
Detailed Descript	ion		15. Small Entity Statement			
Claim or Claims		16. Additional Enclosures (please identify below)				
Abstract of the Disclosure						
3. Drawing(s) (when necessary as prescribed by 35 USC 113)		i				
4. Executed Declaration (Unexecuted Declaration)						
5. Genetic Sequence Submission (If applicable, all must be included)						
Paper Copy						
Computer Readable Copy						
Statement Verifying Identical Paper and Computer Readable Copy						
ADDRESS OF APPLICANT, ATTORNEY, OR AGENT			SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
ALZA Corporation 950 Page Mill Road		Firm or Individual name ALZA Corporation				
P.O. Box 10950 Palo Alto, CA 94303-0802		Signature		Ja Mille		
		Date June 17, 1997		ne /17. 1997		
			AL USE ONLY			
Application Number		Class:			Independent Claims:	
Date of Receipt	Application Type:	GAU:			Total Claims:	
	Filing Date:		Filing License?:		Drawing Sheets: Special Handling?:	
ĺ	Small Entity:	Foreign	Address?:		Special manufings.	

SEND TO: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING OR TRANSMISSION

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By: Henrietta Votaw

By: Henrietta Votaw

## **US PATENT AND TRADEMARK OFFICE**



Approved through Patent and Tradem: ffice: US

PTO/SB/17 (6-95) through 11/30/98 OMB 0651-0032 ffice: US Department of Commerce

0002/PTOUS Department of Commerce		Complete if Known			
Rev. 10/95 Patent and Trademark Office FEE TRANSMITTAL		Application Number			
		Filing Date			
		First Named Inventor	CORMIER, Michel J.N., et al.		
		Group Art Unit			
Total Amount of Payment	(\$) 1,554.00	Examiner Name			
		Attorney Docket Number	ARC 2466 R1		

METHOD OF PAYMENT (check one)			FEE	CALCUI	LATION (continued)
The Commissioner is hereby authorized to charge indicated fees		3 ADDITIONAL FEES			
and credit any over payments to:		Entity	Small	Entity	
Deposit Account		Fee (\$)	Fee Code	Fee (\$)	Fee Pald Description
Number: 01-1173	105	130	205	65	Surcharge-late filing fee or oath
Deposit Account		50	227	25	Surcharge-late provisional filing fee or cover sheet.
Name: ALZA Corporation	139 147	130 2,460	139 147	130 2,460	Non-English specification For filing a request for
Charge Any Additional Charge the Issue Fee Set		900*	112	900*	reexamination Requesting publication of SIR
37 CFR 1.16 and 1.17 Mailing of the Notice of	112 113	1,790*	113	1,790*	prior to Examiner action Requesting publication of SIR
Allowance, 37 CFR 1.311(b)		110	215	55	after Examiner action Extension for response within
2 Payment Enclosed:	116	390	216	195	first month Extension for response within
Check Money Order Other	117	930	217	465	second month Extension for response within
FEE CALCULATION (fees effective 10/1/96)	118	1,470	218	735	third month Extension for response within
1 FILING FEE	119	300	219	150	fourth month Notice of Appeal
Large Entity Small Entity	120	300	220	150	Filing a brief in support of an appeal
Fee Fee Fee Fee Fee Paid	121 138	260 1,470	221 138	130 1,470	Request for oral hearing Petition to institute a public
Code (\$) Code (\$) Description					use proceeding
101 770 201 385 Utility filing 770.00 106 320 206 160 Design filing fee	140	110	240	55	Petition to revive unavoidably abandoned application
107 530 207 265 Plant filing fee 108 770 208 385 Reissue filing fee	141	1,290	241	645	Petition to revive unintentionally abandoned
114 150 214 75 Provisional filing fee		1,290	242	645	application Utility issue fee (or reissue)
SUBTOTAL (1) (\$) 770.00	143	440	243	220	Design issue fee
	144 122	650 130	244 122	325 130	Plant issue fee Petitions to the Commissioner
2 CLAIMS Fee from	123	50	123	50	Petitions related to provisional applications
Extra below Fee Pald	126	230	126	230	Submission of Information Disclosure Statement
Total Claims 52 -20 = 32 x 22.00 = 704.00	581	40	581	40	Recording each patent assignment per property
Independent Claims 4 -3 = 1 x 80.00 = 80.00	146	770	246	385	(x no. properties) Filing a submission after
Multiple Dependent Claims x =					final rejection (37 CFR 1.129(a))
Large Entity Small Entity	149	770	249	385	For each additional invention to be examined
Fee Fee Fee Code (\$) Code (\$) Fee Description					(37 CFR 1.129(b))
103 22 203 11 Claims in excess of 20	Other f	iee (specify)	)		
102 80 202 40 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim		"			
109 80 209 40 Reissue independent claims over	Other	laa (enacifi)	ı		
original patent 110 22 210 11 Reissue claims in excess of 20 and over original patent	ess of 20				SUBTOTAL (3) (\$)
SUBTOTAL (2) (\$)784.00 * Reduced by Basic Filing Fee Pa			ee Paid		
CURMITTED BY			- 1	Complete (if applicable)	
SUBMITTED BY  Typed or Printed Name D. Byten Miller					Reg. Number: 30,661
					Deposit Account
Signature 7/ M/4/4	Da	te:   (/	une 17	, (11/)	User ID 01-1173